

Guidance on Implementing ASME Policy on Legal Company Names

Abstract: ASME's accreditation and certification programs require a contract (Agreement) to be in place for an organization to be issued an ASME certificate:

- 1) authorizing use of the Single Certification Mark,
- 2) use of the ASME Certificate when issuing certification statements,
- 3) as it pertains to accreditation, for the performance of designated oversight activities.

ASME will only issue a certificate to an entity who can legally go into contracts. Incorporating an entity as a legal entity, and identifying the name of the legal entity, may be a complicate subject requiring the applicant to seek legal counsel. The legal name of the entity entering into a contract with ASME is required to be identified on the ASME Certificate. Work performed under any ASME Standard or Code is to be performed under the name of the entity appearing on the first line of an ASME Certificate. In all cases, documented as part of the ASME application process, the company's quality manual, and the Certificate is the legal entity's acceptance of responsibility for all work conducted under an ASME Certificate.

1

Legal Company Name: The name of the entity that has legal capacity to enter into this contract (please verify with your legal advisors). To be identified on the:

- (a) Agreement. [\(Attachment 1\)](#)
- (b) Application on the “Company Name” field or “Division Name”. See below for further information on “Company Name” and “Division Name”. [\(Attachment 2\)](#)
- (c) ASME Certificate. [\(Attachment 3\)](#)

2

Company Name: The name of the legal entity, i.e., legal company name, or a name given to a unit, operated by the legal entity entering contracts with ASME of which the unit itself does not exist as a separate legal entity. To be identified:

- (a) In the application on the “Company Name” field. [\(Attachment 2\)](#)
- (b) On Data Reports. [\(Attachment 4\)](#)
- (c) On Nameplates except when use of an abbreviation has been identified on the application and with controls for its use as specified in the Manual. [\(Attachment 5\)](#)
- (d) In the Manual. When the legal entity is operating under a name other than the legal company name, the Manual shall identify its connection with the name of the unit performing work under the ASME certificate. In this instance, the Statement of Authority and Policy signed by the officer of the legal entity is to make the connection between the Division/Department/Trade Name to the legal company name. Example, “*Legal Company Name*” will use its (division name or department name or trade name), “*Company Name*”, on nameplates marked with the ASME Single Certification Mark and on ASME Data Reports. “*Legal Company Name*” takes full responsibility for all certification statements and work conducted under the name, “*Company Name*”.

3

Division Name: When applicable, used to identify: (1) the use of a trade name (DBA or AKA) as the company name and its connection to the legal company name (the name of the legal entity entering into contracts with ASME), (2) at the request of the legal entity to have its relationship to its parent company identified, or (3) the delegation of authority from a Jurisdictional Authority. To be identified:

- (a) On the Application [\(Attachment 2\)](#) as, but not limited to, one of the following:
 - 1) Incorporated as “Legal Company Name”
 - 2) The Trade Name of “Legal Company Name”
 - 3) A Division of “Legal Company Name”
 - 4) A Department of “Legal Company Name”
 - 5) An Affiliate of “Legal Company Name”
 - 6) A Subsidiary of “Legal Company Name”
 - 7) With Authority Delegated By “Jurisdictional Authority”
- (b) In the Manual.

4

Abbreviation: a shortened group of Latin characters, word or phrase that represents the company name in the nameplate completion.

- (a) To be identified on the application. [\(Attachment 2\)](#)
- (b) Can only be used on nameplates when addressed in the company’s manual and establishes the connection to the legal entity entering into contracts with ASME.

ASME Accreditation/Certification Agreement

The organization listed on this Application for Accreditation/Certification (the “Applicant”) performs or subcontracts the design, fabrication, production, testing, assembly, construction and/or installation of items, or provides a service to the aforementioned activities, at the address given, and makes this application to The American Society of Mechanical Engineers (ASME) for the appropriate Certificate of Authorization or other conformity assessment certificate, which, if applicable, permits the use of the Single Certification Mark. The Applicant:

- (1) Agrees to use the Certificate and the Single Certification Mark, if applicable, in accordance with the applicable ASME Code or Standard and supplemental conformity assessment requirements governing this application.
- (2) Agrees to return the Single Certification Mark and/or Certificate anytime ASME may so request, at the time the Applicant discontinues the work covered, at the time the Certificate expires, if not renewed, or when ASME deems necessary.
- (3) Accepts the conduct of announced or unannounced audits as required by the appropriate ASME accreditation/certification body. Access to the inspection site to conduct audits, reviews or surveys, including travel to and from the site, is the responsibility of the Applicant. If access to the site is blocked or impeded, or if the Applicant deliberately misrepresents information to ASME and its agents, then ASME is not responsible for the Applicant failing to receive or continue to have accreditation/certification.
- (4) Agrees to indemnify and hold harmless ASME and its agents from and against any costs (including legal fees and expenses) and any direct, indirect, incidental or consequential damages (including loss of profits or business opportunity) arising out of any claim or cause of action in any way related to this Agreement or the subject matter hereof, including but not limited to claims based on contract, tort (including negligence), strict liability or breach of warranty (express or implied).
- (5) Understands that the Applicant’s use of the Single Certification Mark may be allowed provisionally by ASME in its discretion until a decision is made on an appeal of an action of an ASME subcommittee, committee or board and accepts the obligation to pay all legal fees and expenses and ASME’s cost for recovering the Certificate and the Single Certification Mark if not returned.
- (6) Agrees to pay ASME for all fees, expenses and expenditures associated with the Review/Survey/Audit conducted by ASME.
- (7) Agrees to not use any ASME’s trademarks or any confusingly similar words, marks or phrase, in connection with Applicant’s business, including but not limited to its business name, domain name, email address, or in promotional material or imply any endorsement, sponsorship, or approval by ASME other than under #1 above.
- (8) Agrees to purchase or have a paid subscription from ASME or an ASME licensed reseller of the electronic version or hardcopy of the applicable ASME Codes and Standards. Reproduced documents are not accepted for ASME accreditation/certification. Additionally, ASME may request proof of purchase of the applicable ASME Codes and Standards as part of the application and/or certification verification process.

- (9) Agrees to comply with any future modifications to the applicable Code or Standard and this Agreement, after reasonable prior notice from ASME.

Agrees that ASME's failure to enforce any provision does not act as a waiver to its rights.

1

**Legal
Company
Name:** _____

**English
Translated
Name:** _____

Signature: _____
Signature must be by a Company Officer or Designee

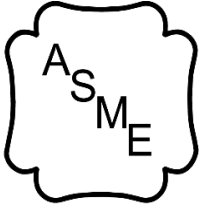
**Print
Name** _____

Title: _____

Date: _____

**Company
ID#:** _____

| Company Information | Plant Address | Mailing Address | Billing Address |
|---|---|---|---|
| Company Name <input type="text" value="2"/> | Address Line 1 <input type="text"/> | Address Line 1 <input type="text"/> | Address Line 1 <input type="text"/> |
| Abbreviation <input type="text" value="4"/> | Address Line 2 <input type="text"/> | Address Line 2 <input type="text"/> | Address Line 2 <input type="text"/> |
| Division Name <input type="text" value="3"/> | + Add Line 3 <input type="text"/> | + Add Line 3 <input type="text"/> | + Add Line 3 <input type="text"/> |
| Company Website <input type="text"/> | Country Select Country ▼ | Country Select Country ▼ | Country Select Country |
| Program Types Select Program Type ▼ | City <input type="text"/> | City <input type="text"/> | City <input type="text"/> |
| | Zip/Postal Code <input type="text"/> | Zip/Postal Code <input type="text"/> | Zip/Postal Code <input type="text"/> |



The American Society of Mechanical Engineers

CERTIFICATE OF AUTHORIZATION

The named company is authorized by The American Society of Mechanical Engineers (ASME) for the scope of activity shown below in accordance with the applicable rules of the ASME Boiler and Pressure Vessel Code. The use of the ASME Single Certification Mark and the authority granted by this Certificate of Authorization are subject to the provisions of the agreement set forth in the application. Any construction stamped with the ASME Single Certification Mark shall have been built strictly in accordance with the provisions of the ASME Boiler and Pressure Vessel Code.

COMPANY:

«entity.name»

2

OrganizationInfo.extension.division»

Incorporated as <<Legal Company Name>>

The Trade Name of <<Legal Company Name>>

A Division of <<Legal Company Name>>

A Department of <<Legal Company Name>>

An Affiliate of <<Legal Company Name>>

A Subsidiary of <<Legal Company Name>>

With Authority Delegated By <<Jurisdictional Authority>>

«entity.line1»

«entity.line2»

«entity.line3»

«entity.line4»

«entity.city» «entity.stateName» «entity.zipcode»

«entity.countryName»

3

SCOPE:

«latestScopeStatement»

«completedApplicationDecisionItem.effecti»

«expirationDate»

«certificationNumber»

Signature BCA

Signature Sr. Director EO

Page ____ of ____

FORM U-1 MANUFACTURER'S DATA REPORT FOR PRESSURE VESSELS
As Required by the Provisions of the ASME Boiler and Pressure Vessel Code Rules, Section VIII, Division 1

1. Manufactured and certified by 2 _____

(Name and address of Manufacturer)

2. Manufactured for _____
(Name and address of Purchaser)

3. Location of installation _____
(Name and address)

4. Type _____
(Horizontal, vertical, or sphere) (Tank, separator, jkt. vessel, heat exch., etc.) (Manufacturer's serial number)

(CRN) (Drawing number) (National Board number) (Year built)

5. ASME Code, Section VIII, Div. 1 _____
[Edition and Addenda, if applicable (date)] (Code Case number) [Special service per UG-120(d)]

Items 6–11 incl. to be completed for single wall vessels, jackets of jacketed vessels, shell of heat exchangers, or chamber of multichamber vessels.

6. Shell: (a) Number of course(s) _____ (b) Overall length _____

| Course(s) | | | Material | | Thickness | | Long. Joint (Cat. A) | | | Circum. Joint (Cat. A, B & C) | | | Heat Treatment | |
|-----------|----------|--------|---------------------|--|-----------|-------|----------------------|------------------|------|-------------------------------|------------------|------|----------------|------|
| No. | Diameter | Length | Spec./Grade or Type | | Nom. | Corr. | Type | Full, Spot, None | Eff. | Type | Full, Spot, None | Eff. | Temp. | Time |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |

| Body Flanges on Shells | | | | | | | | | | | | |
|------------------------|------|----|----|------------|-------------|----------|--------------|----------|------------|------------------|----------------------|-----------------|
| No. | Type | ID | OD | Flange Thk | Min Hub Thk | Material | How Attached | Location | Bolting | | | |
| | | | | | | | | | Num & Size | Bolting Material | Washer (OD, ID, thk) | Washer Material |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |

7. Heads: (a) _____ (b) _____
(Material spec. number, grade or type) (H.T. — time and temp.) (Material spec. number, grade or type) (H.T. — time and temp.)

| | Location (Top, Bottom, Ends) | Thickness | | Radius | | Elliptical Ratio | Conical Apex Angle | Hemis. Radius | Flat Diameter | Side to Pressure | | Category A | | |
|-----|------------------------------|-----------|-------|--------|---------|------------------|--------------------|---------------|---------------|------------------|---------|------------|------------------|------|
| | | Min. | Corr. | Crown | Knuckle | | | | | Convex | Concave | Type | Full, Spot, None | Eff. |
| (a) | | | | | | | | | | | | | | |
| (b) | | | | | | | | | | | | | | |

| Body Flanges on Heads | | | | | | | | | | | | |
|-----------------------|----------|------|----|----|------------|-------------|----------|--------------|------------|------------------|----------------------|-----------------|
| | Location | Type | ID | OD | Flange Thk | Min Hub Thk | Material | How Attached | Bolting | | | |
| | | | | | | | | | Num & Size | Bolting Material | Washer (OD, ID, thk) | Washer Material |
| (a) | | | | | | | | | | | | |
| (b) | | | | | | | | | | | | |

8. Type of jacket _____ Jacket closure _____
(Describe as ogee and weld, bar, etc.)

If bar, give dimensions. If bolted, describe or sketch. _____

9. MAWP _____ at max. temp. _____ Min. design metal temp. _____ at _____
(Internal) (External) (Internal) (External)

10. Impact test _____ at test temperature of _____
[Indicate yes or no and the component(s) impact tested]

11. Hydro., pneu., or comb. test pressure _____ Proof test _____

Items 12 and 13 to be completed for tube sections.

12. Tubesheet _____
[Stationary (material spec. no.)] [Diameter (subject to press.)] (Nominal thickness) (Corr. allow.) [Attachment (welded or bolted)]

_____ (Floating (material spec. no.)) (Diameter) (Nominal thickness) (Corr. allow.) (Attachment)

13. Tubes _____
(Material spec. no., grade or type) (O.D.) (Nominal thickness) (Number) [Type (straight or U)]

Manufactured by 2 _____

Manufacturer's Serial No. _____ CRN _____ National Board No. _____

Items 14–18 incl. to be completed for inner chambers of jacketed vessels or channels of heat exchangers.

14. Shell: (a) No. of course(s) _____ (b) Overall length _____

| Course(s) | | | Material | | Thickness | | Long. Joint (Cat. A) | | | Circum. Joint (Cat. A, B & C) | | | Heat Treatment | |
|-----------|----------|--------|---------------------|--|-----------|-------|----------------------|------------------|------|-------------------------------|------------------|------|----------------|------|
| No. | Diameter | Length | Spec./Grade or Type | | Nom. | Corr. | Type | Full, Spot, None | Eff. | Type | Full, Spot, None | Eff. | Temp. | Time |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |

| Body Flanges on Shells | | | | | | | | | | | | |
|------------------------|------|----|----|------------|-------------|----------|--------------|----------|------------|------------------|-------------------------|-----------------|
| No. | Type | ID | OD | Flange Thk | Min Hub Thk | Material | How Attached | Location | Bolting | | | |
| | | | | | | | | | Num & Size | Bolting Material | Washer (OD, ID, thk) | Washer Material |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |

15. Heads: (a) _____ (b) _____
(Material spec. number, grade, or type) (H.T. — time and temp.) (Material spec. number, grade, or type) (H.T. — time and temp.)

| | Location (Top, Bottom, Ends) | Thickness | | Radius | | Elliptical Ratio | Conical Apex Angle | Hemis. Radius | Flat Diameter | Side to Pressure | | Category A | | |
|-----|------------------------------|-----------|-------|--------|---------|------------------|--------------------|---------------|---------------|------------------|---------|------------|------------------|------|
| | | Min. | Corr. | Crown | Knuckle | | | | | Convex | Concave | Type | Full, Spot, None | Eff. |
| (a) | | | | | | | | | | | | | | |
| (b) | | | | | | | | | | | | | | |

| Body Flanges on Heads | | | | | | | | | | | | |
|-----------------------|----------|------|----|----|------------|-------------|----------|--------------|------------|------------------|----------------------|-----------------|
| | Location | Type | ID | OD | Flange Thk | Min Hub Thk | Material | How Attached | Bolting | | | |
| | | | | | | | | | Num & Size | Bolting Material | Washer (OD, ID, thk) | Washer Material |
| (a) | | | | | | | | | | | | |
| (b) | | | | | | | | | | | | |

16. MAWP _____ at max. temp. _____ Min. design metal temp. _____ at _____
(Internal) (External) (Internal) (External)17. Impact test _____ at test temperature of _____
[Indicate yes or no and the component(s) impact tested]

18. Hydro., pneu., or comb. test pressure _____ Proof test _____

19. Nozzles, inspection, and safety valve openings:

| Purpose (Inlet, Outlet, Drain, etc.) | No. | Diameter or Size | Type | Material | | Nozzle Thickness | | Reinforcement Material | Attachment Details | | Location (Insp. Open.) |
|--------------------------------------|-----|------------------|------|----------|--------|------------------|-------|------------------------|--------------------|--------|------------------------|
| | | | | Nozzle | Flange | Nom. | Corr. | | Nozzle | Flange | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |

20. Supports: Skirt _____ Lugs _____ Legs _____ Others _____ Attached _____
(Yes or no) (Number) (Number) (Describe) (Where and how)

21. Manufacturer's Partial Data Reports properly identified and signed by Commissioned Inspectors have been furnished for the following items of the report (list the name of part, item number, Manufacturer's name, and identifying number):

22. Remarks

(07/17)

FORM U-1

Page ____ of ____

Manufactured by 2
Manufacturer's Serial No. _____ CRN _____ National Board No. _____

CERTIFICATE OF SHOP COMPLIANCE

We certify that the statements in this report are correct and that all details of design, material, construction, and workmanship of this vessel conform to the ASME BOILER AND PRESSURE VESSEL CODE, Section VIII, Division 1.

U Certificate of Authorization Number _____ Expires _____

Date _____ Name 2 Signed _____
(Manufacturer) (Representative)

CERTIFICATE OF SHOP INSPECTION

I, the undersigned, holding a valid commission issued by the National Board of Boiler and Pressure Vessel Inspectors and employed by 2 of _____

have inspected the pressure vessel described in this Manufacturer's Data Report on _____, and state that, to the best of my knowledge and belief, the Manufacturer has constructed this pressure vessel in accordance with ASME BOILER AND PRESSURE VESSEL CODE, Section VIII, Division 1. By signing this certificate neither the Inspector nor his/her employer makes any warranty, expressed or implied, concerning the pressure vessel described in this Manufacturer's Data Report. Furthermore, neither the Inspector nor his/her employer shall be liable in any manner for any personal injury or property damage or a loss of any kind arising from or connected with this inspection.

Date _____ Signed _____ Commissions _____
(Authorized Inspector) (National Board Authorized Inspector Commission number)

CERTIFICATE OF FIELD ASSEMBLY COMPLIANCE

We certify that the statements in this report are correct and that the field assembly construction of all parts of this vessel conforms with the requirements of ASME BOILER AND PRESSURE VESSEL CODE, Section VIII, Division 1. U Certificate of Authorization Number _____ Expires _____.

Date _____ Name 2 Signed _____
(Assembler) (Representative)

CERTIFICATE OF FIELD ASSEMBLY INSPECTION

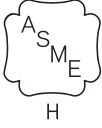
I, the undersigned, holding a valid commission issued by the National Board of Boiler and Pressure Vessel Inspectors and employed by 2 of _____

, have compared the statements in this Manufacturer's Data Report with the described pressure vessel and state that parts referred to as data items _____, not included in the certificate of shop inspection, have been inspected by me and to the best of my knowledge and belief, the Manufacturer has constructed and assembled this pressure vessel in accordance with the ASME BOILER AND PRESSURE VESSEL CODE, Section VIII, Division 1. The described vessel was inspected and subjected to a pressure test of _____. By signing this certificate neither the Inspector nor his/her employer makes any warranty, expressed or implied, concerning the pressure vessel described in this Manufacturer's Data Report. Furthermore, neither the Inspector nor his/her employer shall be liable in any manner for any personal injury or property damage or a loss of any kind arising from or connected with this inspection.

Date _____ Signed _____ Commissions _____
(Authorized Inspector) (National Board Authorized Inspector Commission number)

(07/17)

Figure HG-530.5
Boilers Suitable for Water Only
Form of Data Cast or Marked on Cast Iron Boiler
Sections

| | |
|---|----------------------------|
|  | Certified by _____ |
| | (1) _____ |
| | Name of Manufacturer _____ |
| | MAWP, Water _____ (2) |
| | _____ (3) |
| | (Pattern number) |
| | _____ (4) |
| | (Casting date) |

GENERAL NOTE: For (1) through (4), refer to [HG-530.2 \(a\)\(1\)](#) through [HG-530.2 \(a\)\(4\)](#).

2

As identified on the
ASME Certificate

or

4

When addressed in the
Manual and identified on
the Application